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PTO/SB/21 (08-00)

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FORM (to be used for all correspondence after initial filing) First Named Inventor Group Art Unit Examiner Name Attorney Docket Number Total Number of Pages in This Submission Attorney Docket Number Total Number of Pages in This Submission Attorney Docket Number Total Number of Pages in This Submission Attorney Docket Number Total Number of Pages in This Submission Attorney Docket Number Total Number of Pages in This Submission Attorney Docket Number Total Number of Pages in This Submission Total Number of Pages	FORM			Application Number		09/837,552		
First Named Inventor								
Group Art Unit 2815 Examiner Name N. Drew Richards Attorney Docket Number 740756-2296 ENCLOSURES (check all that apply) Fee Transmittal Form Assignment Papers (for an Application) Drawing(s) After Allowance Communication to Group (for an Application) Drawing(s) After Final Licensing-related Papers Other After Final Licensing-related Papers Petition to Convert to a Provisional Application Aftifidavits/declaration(s) Petition to Convert to a Provisional Application Express Abandonment Request Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Certified Copy of Priority Request for Refund CD, Number of CD(s) Response to Missing Parts Employed the Application Remarks Employed the Application Remarks Employed the Application Remarks Employed the Application Remarks Employed the Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Form Jerome W. Massie (Reg. No. 48.118) Nixon Peabody LLP Individual name S180 Greensborr Drive Suite 800 McLean, V.4. 22102 Signature November 4, 2002 CERTIFICATE OF MAILING Thereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 1.1. 4. 2003 1.1. 4.								
Total Number of Pages in This Submission				Group Art Unit		2815		
ENCLOSURES (check all that apply) See Transmittal Form				Examiner Name		N. Drew Richards		
Fee Transmittal Form Assignment Papers (for an Application) Other	Total Number of Pages in This S	ubmission		Attorney Docket Number		740756-2296		
Fee Transmittal Form Assignment Papers (for an Application) Other		·	ENCLOSU	RES (check all that apply)		- R		
Drawing(s) Drawing(s) Declaration and Power of Attorney Licensing-related Papers Petition Petition to Convert to a Provisional Application Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclosure Statement Declaration Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 Remarks The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 for the above identified docket number. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Singulature Signature	Fee Transmittal Form		Assignn	nent Papers				
Amendment / Reply After Final After Final After Final After Final After Final After Final Petition Petition to Convert to a Provisional Application Change of Correspondence Address Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Nixon Peabody LLP 8180 Greensboro Drive SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Nixon Peabody LLP 8180 Greensboro Drive SIGNATURE	Fee Attached				╽╵	Other		
Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Jerome W. Massie (Reg. No. 48,118) Nixon Peabody LLP 8180 Greensboro Drive Suite 800 McLean, VA 22102 CERTIFICATE OF MAILING Thereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: Type or printed name Adele M. Stamper	Amendment / Reply	<u> </u>			;*			
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Express Abandonment Request	Extension of Time Request							
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Jerome W. Massie (Reg. No. 48,118) Nixon Peabody LLP 8180 Greensboro Drive Suite 800 McLean, VA/22102 Signature November 4, 2002 CERTIFICATE OF MAILING Thereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: Type or printed name Adele M. Stamper				above identified docket nu	mber.			
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Suite 800 McLean, VA/22102 Signature November 4, 2002 CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: Type or printed name Adele M. Stamper	or Latinitas Lagrana	Nixon Peabody LLP						
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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

PTO/SB/17 (11-01) for use through 10/31/2002. OMB 0651-0032

FOR FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27 (\$)110.00 TOTAL AMOUNT OF PAYMENT

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	Complete if Known	
Application Number	09/837,552	
Filing Date	04/19/2001	\sim \sim
First Named Inventor	Hisashi OHTANI et al.	
Examiner Name	N. Drew Richards	12 0/2
Group Art Unit	2815	6, 13
Attorney Docket No.	740756-2296	V/ \

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)							
Check Credit Card Money Order Other None	3. ADDITIONAL FEES				- 1. E. 280¢			
Deposit Account:	Large	Entity	Small	Entity		~~		
Deposit	Fee	Fee	Fee	Fee	Fee Description			
Account 19-2380		(\$) 130	Code 205	(\$) 65	Surcharge – late filing fee or oath			
Number	105 127	50	203	25	Surcharge - late fining fee of oath			
					sheet			
Deposit Account Nixon Peabody LLP	139	130	139	130	Non-English specification			
Name Nixon Peabody LLP	147	2,520	147	2,520	For filing a request for ex parte reexamination			
The Commissioner is authorized to: (check all that apply)	112	920*	112	920*	Requesting publication of SIR prior to Examiner action			
Charge fee(s) indicated below Credit any overpayments	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner			
Charge any additional fee(s) during the pendency of this application	115	110	215	55	action Extension for reply within first month	110.00		
Charge fee(s) indicated below, except for the filing fee	116	400	216	200	Extension for reply within second month	170.00		
to the above-identified deposit account.	117	920	217	460	Extension for reply within third month			
FEE CALCULATION	118	1,440	218	720	Extension for reply within fourth month			
1. BASIC FILING FEE	128	1,960	228	980	Extension for reply within fifth month			
Large Entity Small Entity	119	320	219	160	Notice of Appeal			
Fee Fee Fee Fee Description	120	320	220	160	Filing a brief in support of an appeal			
Code (\$) Code (\$) Fee Paid	121	280	221	140	Request for oral hearing			
101 740 201 370 Utility filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding			
106 330 206 165 Design filing fee	140	110	240	55	Petition to revive - unavoidable			
107 510 207 255 Plant filing fee	141	1,280	241	640	Petition to revive - unintentional			
	142	1,280	242	640	Utility issue fee (or reissue)			
108 740 208 370 Reissue filing fee	143	460	243	230	Design issue fee			
114 100 214 00 1101510141 111118 100		620	244	310	Plant issue fee			
SUBTOTAL (1) (\$) 0	122	130	122	130	Petitions to the Commissioner			
	123	50	123	50	Processing fee under 37 CFR 1.17(q)			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	126	180	126	180	Submission of Information Disclosure Stmt			
Fee from	581	40	581	40	Recording each patent assignment per property (times number of properties)			
Total Claims	146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))			
Independent		740	249	370	Filing a submission after final rejection (37 CFR § 1.129(b))			
Multiple Dependent X = 0		740	279	370	Request for Continued Examination (RCE)			
Large Entity Small Entity Fee Fee Fee Fee <u>Fee Description</u>	169	900	169	900	Request for expedited examination of a design application			
ode (\$) Code (\$)		Other fee (specify)						
103 18 2202 9 Claims in excess of 20								
102 84 2201 42 Independent claims in excess of 3		*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)110.00						
104 280 2203 140 Multiple dependent claim, if not paid 109 84 2204 42 ** Reissue independent claims over					CERTIFICATE OF MAILING			
original patent		I hereby certify that this correspondence is being deposited with the United States Postal						
110 18 2205 9 ** Reissue claims in excess of 20 and over original patent	Paten	Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on November 4, 2002						
SUBTOTAL (2) (\$) 0	Name	<u>Udw M Stamper</u> Name: Adele M. Stamper						
**or number previously paid, if greater; For Reissues, see above	Name	Adele I	·i. Juill	pc1				
SUBMITTED BY	Regio	tration N	Vo.	48,118	Complete (if applicable) 703 770 9300			
Name (Print/Type) Jerome W. Massie	(Attor	(Attorney/Agent) Telephone						
Signature Jonnee WIV On	<i>sio</i>				Date November 4, 2	2002		



PATENT Docket No.: 740756-2296

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Hisashi OHTANI et al.

Serial No. 09/837,552

Filed: April 19, 2001

For: SEMICONDUCTOR DEVICE AND

MANUFACTURING METHOD

THEREOF

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Adele M. Stamper

SYLV3

REQUEST FOR APPROVAL OF DRAWING CORRECTIONS

Honorable Commissioner for Patents Washington, D.C. 20231

Sir:

Attached hereto is a copy of Figures 3A-3E, 5A-5B and 6 of the present application, with corrections marked in red ink. The corrections set forth are requested for the purpose of providing consistency in feature identification with the specification, and as required by 37 C.F.R. 1.83(a). The USPTO is requested to review and approve the corrections to Figure s 3A-3E, 5A-5B and 6, and provide Applicants' undersigned representative with written notice of such approval.

Respectfully submitted,

Jerome W. Massie

Registration No. 48,118

NIXON PEABODY LLP 8180 Greensboro Drive, Suite 800 McLean, VA 22102 (703) 770-9300 (703) 770-9400 fax